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Approved for filing 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/071,838	
	Filing Date	February 8, 2002	
	First Named Inventor	Li, Jing	
	Group Art Unit	1645	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	12	Attorney Docket Number	018781-007610US

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard Copy of Notice to File Missing Parts; Declaration for Utility or Design Application SB/10A (2 pgs.); Power of Attorney or Authorization of Agent SB/81 (1 pg.); Statement Under 37 CFR 3.73(b) SB/96 w/copy Assignment (4 pgs.)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
Communication Under 37 CFR §§1.821-1.825 and Preliminary Amendment and Sequence Listing Diskette mailed concurrently to Box Sequence, P.O. Box 2327, Arlington, VA 22202.		

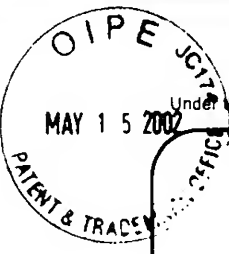
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Jean M. Lockyer, Ph.D.	Reg. No. 44,879
Signature		
Date	5/3/02	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:		
5/3/02		
Typed or printed name	Nikki Newell	
Signature		Date 5/3/02

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SF 1342814 v1



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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

Application Number	10/071,838
Filing Date	February 8, 2002
First Named Inventor	Li, Jing
Examiner Name	Not yet assigned
Group Art Unit	1645
Attorney Docket No.	018781-007610US

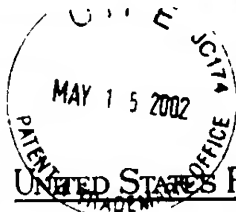
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TOTAL AMOUNT OF PAYMENT (\$) 1704

METHOD OF PAYMENT				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADDITIONAL FEES			
Deposit Account Number: 20-1430				Large Fee Code, Entity Fee (\$), Small Fee Code, Entity Fee (\$), Fee Description, Fee Paid			
Deposit Account Name: Townsend and Townsend and Crew LLP				105 130 205 65 Surcharge - late filing fee or oath 130			
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				127 50 227 25 Surcharge - late provisional filing fee or cover sheet.			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				139 130 139 130 Non-English specification			
2. <input type="checkbox"/> Payment Enclosed:				147 2,520 147 2,520 For filing a request for reexamination			
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				112 920* 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION				113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE				115 110 215 55 Extension for reply within first month			
Large Fee Code, Entity Fee (\$), Small Fee Code, Entity Fee (\$), Fee Description, Fee Paid				116 400 216 200 Extension for reply within second month			
101 740 201 370 Utility filing fee 740				117 920 217 460 Extension for reply within third month			
106 330 206 165 Design filing fee				118 1,440 218 720 Extension for reply within fourth month			
107 510 207 255 Plant filing fee				128 1,960 228 980 Extension for reply within fifth month			
108 740 208 370 Reissue filing fee				119 320 219 160 Notice of Appeal			
114 160 214 80 Provisional filing fee				120 320 220 160 Filing a brief in support of an appeal			
SUBTOTAL (1) (\$740)				121 280 221 140 Request for oral hearing			
2. EXTRA CLAIM FEES				138 1,510 138 1,510 Petition to institute a public use proceeding			
Total Claims 43 -20** = 23 X \$18 = \$414				140 110 240 55 Petition to revive - unavoidable			
Independent Claims 8 -3** = 5 X \$84 = \$420				141 1,280 241 640 Petition to revive - unintentional			
Multiple Dependent X =				142 1,280 242 640 Utility issue fee (or reissue)			
Large Fee Code, Entity Fee (\$), Small Fee Code, Entity Fee (\$), Fee Description, Fee Paid				143 460 243 230 Design issue fee			
103 18 203 9 Claims in excess of 20				144 620 244 310 Plant issue fee			
102 84 202 42 Independent claims in excess of 3				122 130 122 130 Petitions to the Commissioner			
104 280 204 140 Multiple dependent claim, if not paid				123 50 123 50 Petitions related to provisional applications			
109 84 209 42 ** Reissue independent claims over original patent				126 180 126 180 Submission of information Disclosure Stmt			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent				581 40 581 40 Recording each patent assignment per property (times number of properties)			
SUBTOTAL (2) (\$834)				146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))			
**or number previously paid, if greater; For Reissues, see above				149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))			
				179 740 279 370 Request for Continued Examination (RCE)			
				169 900 169 900 Request for expedited examination of a design application			
				Other fee (specify)			
				The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.			
				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$130)			

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Jean M. Lockyer, Ph.D.	Registration No. (Attorney/Agent)	44,879	Telephone	415-576-0200
Signature				Date	5/3/1

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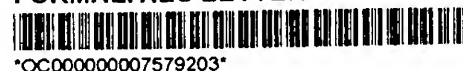
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/071,838	02/08/2002	Jing Li	018781-007610US

CONFIRMATION NO. 1366

20350
TOWNSEND AND TOWNSEND AND CREW, LLP
TWO EMBARCADERO CENTER
EIGHTH FLOOR
SAN FRANCISCO, CA 94111-3834

FORMALITIES LETTER



OC000000007579203

Date Mailed: 03/05/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/16/2002 STEUMEL 00000018 201430 10071838

01 FC:101	740.00 CH
02 FC:103	414.00 CH
03 FC:102	420.00 CH
04 FC:105	130.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$834.
 - \$414 for 23 total claims over 20.
 - \$420 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1704.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE